



DAC  
(S) \$  
PATENT  
#28

In re Application of:  
**John C. Harvey and James W. Cuddihy:**

Group Art Unit: 2737  
Examiner: FAILE, A.  
Attorney Docket: 05634.0355

Serial No.: 08/487,526

Filed: June 7, 1995

For: **SIGNAL PROCESSING APPARATUS  
AND METHODS**

**ATTN: APPLICATION PROCESSING DIVISION,  
SPECIAL PROCESSING & CORRESPONDENCE BRANCH**

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

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**NOTIFICATION OF CHANGE IN STATUS UNDER 37 C.F.R. § 1.28(B) AND  
PAYMENT OF FEE DEFICIENCIES UNDER 37 C.F.R. § 1.28(C)**

Sir:

Upon review of the claims as filed in the above-referenced application and the "field of use" clause in the application's assignee's license contract with a firm that is a large entity, it has come to the applicant's attention that the present application requires large entity status.

Pursuant to 37 C.F.R. § 1.28(b), Attorneys for Applicants hereby provide notification that Personalized Media Communications, L.L.C. ("PMC"), the assignee of the above referenced application Serial No. 08/487,526 does not qualify for small entity status under M.P.E.P. § 509.02 and 37 C.F.R. § 1.9 for paying reduced patent fees for the above referenced application and that the claim for small entity status for the above referenced application Serial No. 08/487,526 is hereby withdrawn.

Enclosed herewith is assignee's check no. 3278 for the recalculated fees of \$869.00 to convert the above-referenced application from small to large entity status in

01/03/2001 SLUANG 00000026 08487526 37 C.F.R. § 1.28(c). The following table identifies each of applicant's  
01 FC:699 -869.00 OP

Adjustment date: 01/10/2001  
01/08/2001 SLUANG 00000026 08487526  
03 FC:699 -423.00 OP  
01/10/2001 LGIBBS 00000076 08487526  
01 FC:197 423.00 OP  
01/08/2001 SLUANG 00000026 08487526  
01 FC:117 870.00 OP  
02 FC:102 80.00 OP  
03 FC:699 423.00 OP

submissions to the Patent and Trademark Office ("PTO") necessitating the enclosed payment and the amount to bring the application in conformity to large entity status.

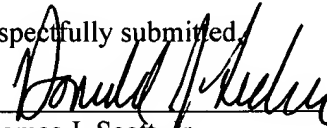
| Description of Submission to PTO             | Amount Deficient |
|--|------------------|
| New application filing:                      | \$365.00         |
| Preliminary amendments:                      | \$39.00          |
| Response to first action new claim fees:     |                  |
| Response to first action extension of time:  | \$465.00         |
| Response to second action new claim fees:    |                  |
| Response to second action extension of time: |                  |
| Response to final action new claim fees:     |                  |
| Response to final action extension of time:  |                  |
| 1.129(a) submission:                         |                  |
| <b>TOTAL</b>                                 | <b>\$869.00</b>  |

Applicants respectfully request the records of the PTO be revised to indicate that the above-referenced application should now be considered the application of a large entity.

The Commissioner is hereby authorized to charge or credit the deposit account of **Hunton & Williams**, Deposit Account No. **50-0206**, for any insufficient funds or overpayments in connection with the filing of the instant papers.

Date: December 29, 2000  
**HUNTON & WILLIAMS**  
1900 K Street, N.W.  
12<sup>th</sup> Floor  
Washington, D.C. 20006

Respectfully submitted,

  
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Attorneys for Applicants  
Tel: (202) 955-1938

# Transaction List

Search by

☐ Accounting Date:  Operator ID:   
(MMDDYY)

Accounting Date

Starts:

Ends:

☒ Name/Number:

☐ Attny Docket No:

☐ Deposit Account No.:



| Accounting Date | Operator ID | Seq. No. | Txn Src | Fee Code | St | Amount | Name/Number | Dep Acct |
|-----------------|-------------|----------|---------|----------|----|--------|-------------|----------|
|-----------------|-------------|----------|---------|----------|----|--------|-------------|----------|

|            |           |         |      |     |   |        |          |  |
|------------|-----------|---------|------|-----|---|--------|----------|--|
| 04/16/1999 | MCOLEMAN  | 1       | SALE | 103 | A | 792.00 | 08487526 |  |
| 01/06/1999 | MFLETCHER | 16      | SALE | 117 | A | 870.00 | 08487526 |  |
| 04/14/1998 | RMAGAT    | 9       | SALE | 146 | A | 790.00 | 08487526 |  |
| 09/03/1997 | SCHAPMAN  | 102     | SALE | 217 | A | 465.00 | 08487526 |  |
| 12/28/1995 | 270MM     | 1504    | CRDA | 202 | A | 39.00  | 08487526 |  |
| 07/31/1995 | 260YC     | 2601501 | CRDA | 101 | A | 365.00 | 08487526 |  |
| 07/31/1995 | 310CS     | 3602    | CRDA | 101 | A | 365.00 | 08487526 |  |
| 07/31/1995 | 310CS     | 3601    | CRDA | 201 | A | 365.00 | 08487526 |  |
| 07/13/1995 | 310CS     | 1301    | CRDA | 201 | A | 365.00 | 08487526 |  |

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Adjustment Detail

Authorization Detail



Client Manager

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Transaction List



12:21 PM

Adjustment Date: 01/08/2001 SLUANG1 Nm/Nb: 08487526  
12/28/1995 270MM 0000001504 FC:202 -39.00 0

Adjustment date: 01/08/2001 SLUANG1  
09/03/1997 SCHAPMAN 00000075 08487526  
01 FC:217 -465.00 0P

Adjustment date: 01/08/2001 SLUANG1  
01/03/2001 SLUANG1 00000057 08487526  
01 FC:699 -869.00 0P

01/03/2001 SLUANG1 00000057 08487526  
01 FC:699 -869.00 0P

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